

# Euler-like modelling of dense granular flows: application to a rotating drum

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**Abstract.** General conservation equations are derived for 2D dense granular flows from the Euler equation within the Boussinesq approximation. In steady flows, the 2D fields of granular temperature, vorticity and stream function are shown to be encoded in two scalar functions only. We checked such prediction on steady surface flows in a rotating drum simulated through the Non-Smooth Contact Dynamics method even though granular flows are dissipative and therefore not necessarily compatible with Euler equation. Finally, we briefly discuss some possible ways to predict theoretically these two functions using statistical mechanics.

**PACS.** 47.57.Gc Granular flow – 47.10.-g General theory in fluid dynamics – 83.80.Fg Granular solids

## 1 Introduction

The intrinsic dissipative nature of the interactions between the constituent macroscopic particles sets granular media apart from conventional solids, liquids and gases [1]. Understanding the rheology of granular systems is thus rather difficult. Depending on the flow velocity, three regimes are usually distinguished: the *rapid flow* – gaseous-like – regime where grains interact through binary collisions, is generally described within the framework of the kinetic theory [2–4]; the *slow flow* – solid-like – regime, where grain inertia is negligible, is most commonly described using the tools of soil mechanics and plasticity theory [5]. In between these two regimes there exists a *dense flow* – liquid-like – regime where grain inertia becomes important but contacts between grains are still relevant. This last regime has been widely investigated experimentally, numerically and theoretically (see [6] for a review) in various flow configurations. Several constitutive laws have been derived by accounting for non-local effects [7–11], by adapting kinetic theory [12–14], by modelling dense flows as partially fluidized flows [15], by considering them as quasi-static flows where the mean motion results from transient fractures modelled as self activated process [16–19] or more recently by considering them as visco-plastic fluids [20–22]. To our knowledge, all these approaches fail to account for all the features experimentally observed.

In some sense, similar difficulties are encountered in the understanding and modelling of turbulent flows. In that case, the challenge is to relate the Reynolds stresses, based on small scale fluctuations, to large scales or time averaged quantities. A new way to tackle this problem was recently suggested [23–26], through the consideration of non-linear steady solutions of the Euler equations, thereby disregarding any non-universal effects induced by (large scale) forcing and (small scale) dissipation. When applied to a turbulent von Kármán flow, this approach leads to the characterization of the steady state velocity fields through two scalar functions only, encoding all information about the forcing and the dissipation. In the present paper, this method is generalized to the case of inhomogeneous dense granular flows. As a result, one obtains a characterization of the steady state through two scalar functions, dependent on the forcing geometry and on the dissipation processes, that relate the fields of granular temperature, vorticity and stream function. In other words, the knowledge of these two scalar functions is sufficient to encode the two-dimensional (2D) hydrodynamical inhomogeneous fields.

The paper is organized as follows: in Section 2, the structure of 2D steady granular flows is derived under some specific assumptions. Hydrodynamics and state equations in granular media are briefly discussed in Section 2.1. Conservation equations are then rewritten assuming that volume fraction is nearly constant within the

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flow (Boussinesq approximation) in Section 2.2, and then restricted to 2D geometries in Section 2.3. In Section 2.4 the general shape of the stationary solutions is given in the Euler approximation, assuming that, once time-averaged, forcing and dissipation balance locally. In particular, it is shown that these stationary states can be fully characterized through the knowledge of two scalar functions  $F$  and  $G$ . Section 3 confronts these predictions with steady surface flows in rotating drum as obtained in Contact Dynamics simulations reported in [27] that were shown to reproduce the experimental features observed in references [28–30]. The simulation scheme and the description of the simulated systems are briefly recalled in Section 3.1. Spatial distribution of the averaged temperature, volume fraction, vorticity and stream function fields are computed within the whole drum, at the grain scale (Sect. 3.2). It appears that these hydrodynamical fields can indeed be described through only two scalar functions  $F$  and  $G$ . This result is non trivial because it tells us our granular dissipative flow is compatible with non-dissipative Euler equation. The two characteristic functions  $F$  and  $G$  are then determined from the numerical data (Sect. 3.3), commented (Sect. 3.4) and checked (Sect. 3.5). In the last section of this paper (Sect. 4) some possible ways to predict theoretically these two functions are briefly discussed.

## 2 Theoretical framework: conservation equations within the Boussinesq approximation

### 2.1 Granular hydrodynamics

It is commonly assumed that granular media can be described with continuum models. In all the following, distances, time, velocities and stresses are given in units of  $d$ ,  $d/g$ ,  $\sqrt{gd}$  and  $\rho_0gd$  respectively where  $g$  refers to the gravity constant,  $d$  to the mean grain diameter, and  $\rho_0$  to the mass density of the grains. The mass, momentum and energy conservation equations then lead to:

$$\begin{aligned} \partial_t \nu + \nabla \cdot (\nu \mathbf{v}) &= 0, \\ \partial_t \nu \mathbf{v} + (\mathbf{v} \cdot \nabla) \nu \mathbf{v} &= -\nabla P + \nu \mathbf{g} + \mathbf{F}_{visc} + \mathbf{F}_{forc}, \\ \partial_t \nu T + \nabla \cdot (\nu T \mathbf{v}) &= -P \nabla \cdot \mathbf{v} + E_{visc} + E_{forc}. \end{aligned} \quad (1)$$

In these equations,  $\nu(\mathbf{r}, t)$  is the field of volume fraction;  $\mathbf{v}(\mathbf{r}, t)$  is the coarse-grained velocity field given by  $\mathbf{v}(\mathbf{r}, t) = \langle \mathbf{c}_b(t) \rangle_{b \in \Sigma(\mathbf{r})}$  where  $\mathbf{c}_b(t)$  refers to the instantaneous velocity of the bead  $b$  located at time  $t$  within the elementary volume  $\Sigma(\mathbf{r})$  located at position  $\mathbf{r}$ ;  $\mathbf{g}$  is the gravitational acceleration;  $T(\mathbf{r}, t)$  is the field of granular temperature defined in term of the RMS part of the velocity field,  $T(\mathbf{r}, t) = \frac{1}{2} \langle (\mathbf{c}_b(t) - \mathbf{v}(\mathbf{r}, t))^2 \rangle_{b \in \Sigma(\mathbf{r})}$ ;  $\mathbf{F}_{forc}(\mathbf{r}, t)$ ,  $E_{forc}(\mathbf{r}, t)$  denote the forcing (apart from gravity force) applying on this elementary volume and  $\mathbf{F}_{visc}(\mathbf{r}, t)$ ,  $E_{visc}(\mathbf{r}, t)$  stand for the dissipative processes inside this elementary volume. This system has to be supplemented by an equation of state  $P = g(\nu)T$  and a rheology, i.e. some constitutive equations describing  $\mathbf{F}_{forc}$ ,  $E_{forc}$ ,  $\mathbf{F}_{visc}$ ,  $E_{visc}$ .

Contrary to classical liquids, the density and temperature dependence of transport coefficients play an important role in determining the flow density. For dilute systems they are usually obtained using kinetic theory of granular gases [2–4] within the Enskog approximation. For dense gases, there is no available systematic theory allowing their description. They are therefore usually prescribed using phenomenological models [13] or fitted using experimental [21,22,31] or numerical [32] data. In particular, the equation of state can be written in the high-density limit [21,32]:

$$P \simeq K \frac{\nu_*^2}{\nu_* - \nu} T, \quad (2)$$

where  $K$  is a constant and  $\nu_*$  the random close packing limit:  $\nu_* \simeq 0.82$  (resp.  $\nu_* \simeq 0.64$ ) for 2D (resp. for 3D) monodisperse packing. At  $\nu = \nu_*$ , this equation therefore predicts a zero granular temperature, consistent with the absence of motion. As for the dissipative terms and forcing, the precise shape of the equation of state shall not be needed in the sequel. This is a distinguished feature of our approach.

### 2.2 The Boussinesq approximation

For simplicity, one focuses on situations where the volume fraction is nearly constant close to the random close packing limit  $\nu \approx \nu_*$ . In dense granular flows, this approximation is generally satisfied within 10 percents [6]. Generalization to non constant volume fraction is possible, but more involved. In that limit, the classical Boussinesq approximation is implemented by neglecting the fluctuation of volume fraction in the continuity equation so that it becomes:

$$\nabla \cdot \mathbf{v} \approx 0. \quad (3)$$

The other conservation equations may then be simplified by defining a reference state with  $\mathbf{v} = 0$ ,  $T = 0$ ,  $P = P_*$ ,  $\nu = \nu_*$ , so that:

$$\nabla P_* = \nu_* \mathbf{g}, \quad (4)$$

i.e. an hydrostatic equilibrium in the vertical direction. Along with non-zero velocity, we introduce temperature and volume fraction deviations with respect to the reference state as:

$$\nu = \nu_* - \delta\nu; \quad T = \delta T; \quad P = P_* + \delta P. \quad (5)$$

The momentum equation can then be written as:

$$\begin{aligned} \partial_t \mathbf{v} + (\mathbf{v} \cdot \nabla) \mathbf{v} &= -\frac{1}{\nu} \nabla P + \mathbf{g} + \mathbf{F}_{visc} + \mathbf{F}_{forc}, \\ &\approx -\frac{1}{\nu_*} \nabla \delta P - \frac{\delta\nu}{\nu_*^2} \nabla P_* + \mathbf{g} - \frac{1}{\nu_*} \nabla P_* \\ &\quad + \mathbf{F}_{visc} + \mathbf{F}_{forc}, \\ &= -\frac{1}{\nu_*} \nabla \delta P - \frac{\delta\nu}{\nu_*} \mathbf{g} + \mathbf{F}_{visc} + \mathbf{F}_{forc}, \end{aligned} \quad (6)$$

where the hydrostatic equilibrium has been used to simplify the last equation. A similar treatment of the temperature equation leads to:

$$\partial_t \delta T + (\mathbf{v} \cdot \nabla) \delta T = E_{visc} + E_{forc} - \frac{P_*}{\nu_*} \nabla \cdot \mathbf{v} \approx E_{visc} + E_{forc}. \quad (7)$$

The system of resulting equations can be further transformed so that it involves only temperature fluctuations by using equation (2):

$$\frac{\delta \nu}{\nu_*} = \frac{\delta T}{T_{ref}}, \quad (8)$$

where the reference temperature field  $T_{ref}(\mathbf{r})$  is given by  $T_{ref} = P_*/K\nu_*$  so that  $\mathbf{g} = K\nabla T_{ref}$ , and only the first order terms in  $\delta\nu/\nu_*$ ,  $\delta T/T_{ref}$  and  $\delta P/P_*$  are kept. The system of equations of the weakly compressible granular medium then takes the shape:

$$\begin{aligned} \nabla \cdot \mathbf{v} &= 0, \\ \partial_t \mathbf{v} + (\mathbf{v} \cdot \nabla) \mathbf{v} &= -\frac{1}{\nu_*} \nabla \delta P - \frac{\delta T}{T_{ref}} \mathbf{g} + \mathbf{F}_{visc} + \mathbf{F}_{forc}, \\ \partial_t \delta T + (\mathbf{v} \cdot \nabla) \delta T &= E_{visc} + E_{forc}. \end{aligned} \quad (9)$$

Note that the system can also be formulated in a more classical Boussinesq-like form by introducing the variable  $\theta = \delta T/T_{ref}$  and noting that  $T_{ref}$  is not a constant (it varies along the gravity direction), so that:

$$\begin{aligned} \nabla \cdot \mathbf{v} &= 0, \\ \partial_t \mathbf{v} + (\mathbf{v} \cdot \nabla) \mathbf{v} &= -\frac{1}{\nu_*} \nabla \delta P - \theta \mathbf{g} + \mathbf{F}_{visc} + \mathbf{F}_{forc}, \\ \partial_t \theta + (\mathbf{v} \cdot \nabla) \theta + (\mathbf{v} \cdot \nabla) \log T_{ref} &= E_{visc} + E_{forc}. \end{aligned} \quad (10)$$

In the sequel, we shall however rather work with the formulation (9).

### 2.3 2D case

We now specialize our granular hydrodynamics to the case of 2D medium, such as flow within a thin rotating drum of diameter  $2R$ , rotated along the  $y$  axis at a constant angular velocity  $\Omega$  as investigated in Section 3. If the width of the drum in the  $y$  direction is thin with respect to the characteristic length scale of  $(x, z)$  motions, the velocity field can be assumed two-dimensional  $\mathbf{v}(x, z, t)$ . In that case, the vorticity is directed along the  $y$  axis and the forcing is supplied by the boundary conditions. One can recast equation (9) in cartesian coordinates  $(x, z)$  as:

$$\begin{aligned} \partial_x v_x + \partial_z v_z &= 0, \quad (11) \\ \partial_t v_x + v_x \partial_x v_x + v_z \partial_z v_x &= -\frac{1}{\nu_*} \partial_x \delta P - g_x \frac{\delta T}{T_{ref}} \\ &\quad + F_{visc}^x + F_{forc}^x, \\ \partial_t v_z + v_x \partial_x v_z + v_z \partial_z v_z &= -\frac{1}{\nu_*} \partial_z \delta P - g_z \frac{\delta T}{T_{ref}} \\ &\quad + F_{visc}^z + F_{forc}^z, \\ \partial_t \delta T + v_x \partial_x \delta T + v_z \partial_z \delta T &= E_{visc} + E_{forc}, \end{aligned} \quad (12)$$

where  $x$  and  $z$  indices or superscripts denote the components of the considered vector in a cartesian referential. Thanks to incompressibility and the 2D nature of the flow,  $v_x$  and  $v_z$  can be expressed in term of the stream function  $\psi$  defined by:

$$v_x = \partial_z \psi \quad \text{and} \quad v_z = -\partial_x \psi.$$

Calling  $q$  the  $y$ -component of the vorticity, one gets:

$$q = \partial_z v_x - \partial_x v_z = \Delta \psi. \quad (13)$$

where  $\Delta = \partial_x^2 + \partial_z^2$  is the Laplacian. Taking the curl of the equation for velocity, equations (12) can be recast as:

$$\begin{aligned} \partial_t \delta T + \{\psi, \delta T\} &= E_{visc} + E_{forc}, \quad (14) \\ \partial_t q + \{\psi, q\} &= K \{\log T_{ref}, \delta T\} + \nabla \cdot (\mathbf{F}_{visc} + \mathbf{F}_{forc}) \end{aligned}$$

where  $\{\psi, \phi\} = \partial_z \psi \partial_x \phi - \partial_x \psi \partial_z \phi$  is the Jacobian. The relation between gravity and  $T_{ref}$  was used to simplify the buoyancy term. This formulation of the stratified Navier-Stokes equation has to be supplemented by appropriate boundary conditions. Notice that only two scalar fields are sufficient to describe the flows under consideration:  $\delta T$ , the granular temperature and  $q$ , the  $y$ -component of the vorticity.

### 2.4 Steady state solutions

Let us now consider steady regimes. At the global scale, the dissipation generated by the interactions between grains should balance exactly the external forcing applied by the drum on the packing. From now, we *assume* that forcing and dissipation equilibrate *locally* on average. This balance is all the more likely since the considered elementary volume is large. In other words,  $\overline{\mathbf{F}_{visc}(x, z, t) + \mathbf{F}_{forc}(x, z, t)} = 0$  and  $\overline{E_{visc}(x, z, t) + E_{forc}(x, z, t)} = 0$ , where the overlines denote averaging over time, and we focus on the left-hand side of equations (14) to see the implications on the form taken by the fields  $\psi$ ,  $q$  and  $\delta T$ . The steady states then obey the averaged equations:

$$\begin{aligned} \overline{\{\psi, \delta T\}} &= 0, \quad (15) \\ \overline{\{\psi, q\}} &= K \{\log T_{ref}, \overline{\delta T}\}. \end{aligned}$$

Neglecting correlations  $\overline{\{\psi, \delta T\}} \approx \overline{\psi} \overline{\delta T}$ , one gets:

$$\begin{aligned} \overline{\{\psi, \delta T\}} &= 0, \quad (16) \\ \overline{\{\psi, q\}} &= K \{\log T_{ref}, \overline{\delta T}\}, \end{aligned}$$

where the overlines over  $q$ ,  $T$  and  $\psi$  are now omitted for sake of simplicity. The first equation is satisfied if

$$\delta T = F(\psi), \quad (17)$$

where  $F$  is an arbitrary function. Using the general identity

$$\{f, h(g)\} = h'(g) \{f, g\} = \{h'(g)f, g\}, \quad (18)$$

where  $f$ ,  $g$  and  $h$  are arbitrary functions, the second equation becomes

$$\{\psi, q + F'(\psi)K \log T_{ref}\} = 0. \quad (19)$$

Therefore, the general stationary solution of equations (14) is of the form

$$\delta T = F(\psi) \quad \text{and} \quad q + KF'(\psi) \log T_{ref} = G(\psi), \quad (20)$$

where  $F$  and  $G$  are arbitrary functions. Recalling the connection between  $q$  and  $\psi$ , one can fully characterize the stationary states through the two functions  $F$  and  $G$  as:

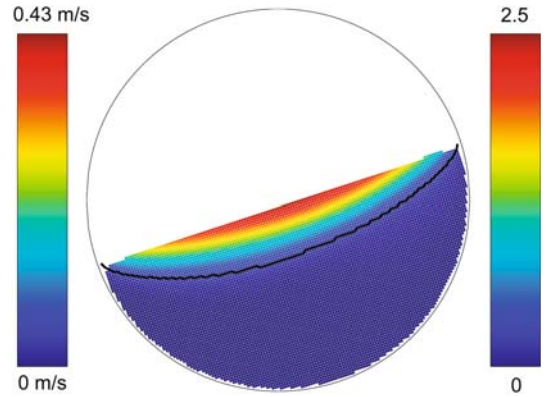
$$\begin{aligned} \delta T &= F(\psi), \\ \Delta\psi &= q = -KF'(\psi) \log T_{ref} + G(\psi). \end{aligned} \quad (21)$$

It should be emphasized that the functions  $F$  and  $G$  depend on the forcing and dissipation. Indeed, the competition between these two effects is responsible for the selection of the precise shape for  $F$  and  $G$ . But once these functions are known, one can solve the second equation of (21) to get  $\psi$  as a function of  $x$  and  $z$ , and then derive from this expression the temperature and velocity profile. To close the system of conservation equations, it is then sufficient to give the expression for  $F$  and  $G$ . There are probably several ways to prescribe these functions. For example, one could use a statistical mechanics approach in order to select their “most probable” form depending on macroscopic constraints and microscopic processes, using methods of information theory (see e.g. [23,24,33,34] for illustrations in turbulence). One could also follow the procedure used in rheology studies, and try to define these functions through “minimal” experimental or numerical measurements performed on the considered system.

### 3 Application to simulated steady surface flows

#### 3.1 Simulation methodology

The formalism described in the previous section is now applied to the inhomogeneous steady surface flows observed in rotating drums. The simulations have been performed using Non-Smooth Contact Dynamics approach [35,36]. The algorithms benefit from parallel versions [37,38] which show their efficiency in the simulation of large systems. The scheme has been described in detail elsewhere [27] and is briefly recalled below: An immobile drum of diameter  $D_0 = 45$  cm is half-filled with 7183 rigid disks of density  $\rho_0 = 2.7$  g cm<sup>-3</sup> and diameter uniformly distributed between 3 and 3.6 mm. The weak polydispersity introduced in the packing prevents 2D ordering effects. The normal restitution coefficient between two disks (resp. between disks and drum) is set to 0.46 (resp. 0.46) and the friction coefficient to 0.4 (resp. 0.95). Once the packing is stabilized, a constant rotation speed ranging from 2 to 15 rpm is imposed to the drum. After one round, a steady continuous surface flow is reached. One starts then to capture



**Fig. 1.** (Color online)  $x$ -component of the time and ensemble averaged velocity field in the simulated 2D rotating drum for a  $\Omega = 6$  rpm rotation speed. The black line shows the interface between the flowing layer and the “static” packing. Velocities are expressed in m s<sup>-1</sup> (left-hand colorbar) or non-dimensionalized by  $\sqrt{gd}$  (right-hand colorbar) where  $g$  refers to the gravity constant and  $d$  to the mean diameter of the beads (see text for details).

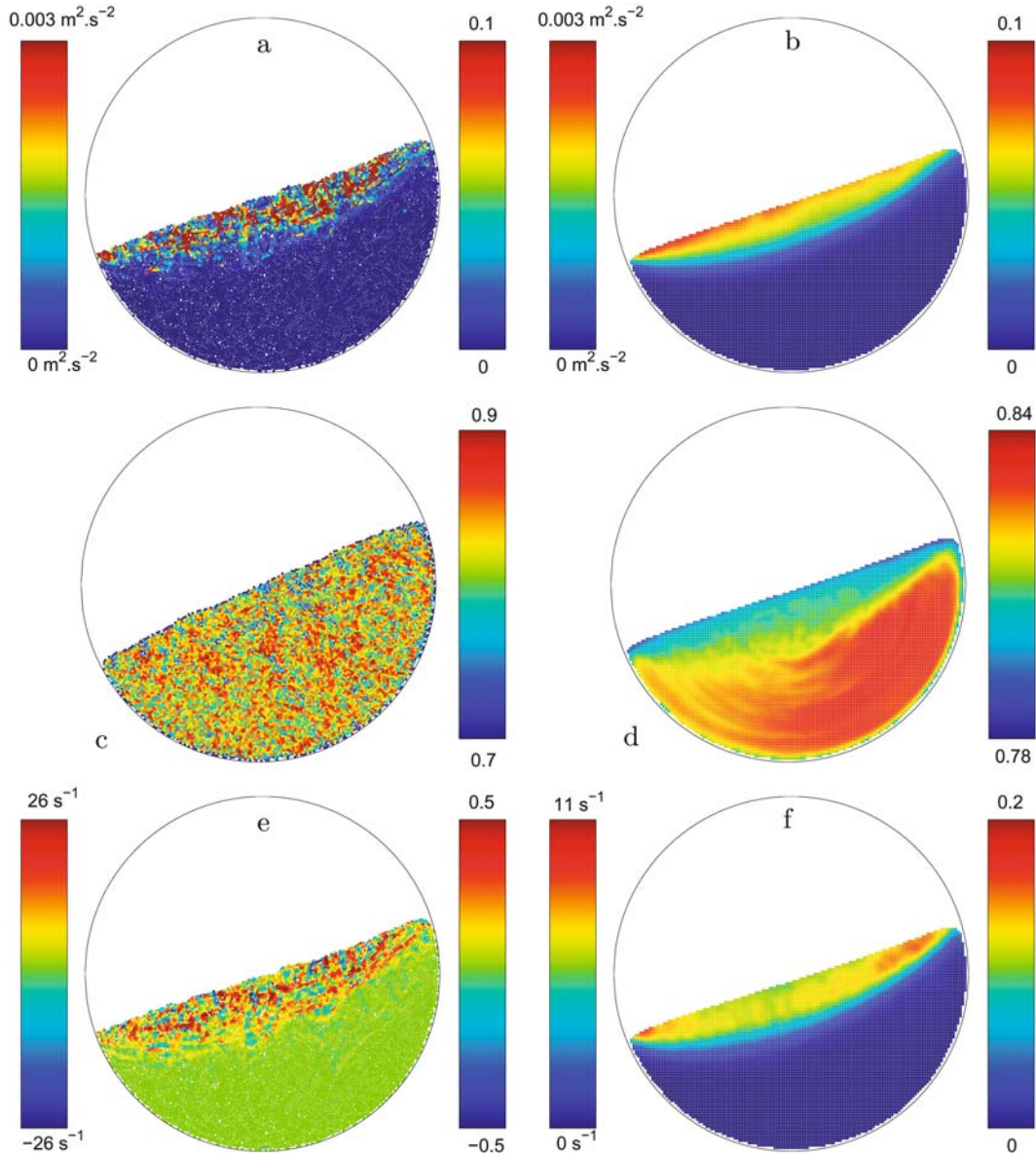
400 snapshots equally distributed over one rotation of the drum.

For each bead of each of the 400 frames within a given numerical experiment, one records the position  $\mathbf{r}$  of its center of mass and its “instantaneous” velocity  $\mathbf{c}$  averaged over the time step  $\delta t = 6 \times 10^{-3}$  s of the simulation. For each rotation velocity, we have performed 20 experiments starting from different initial packing of the beads. The reference frame  $\mathfrak{R}$  is defined as the frame rotating with the drum that coincides with the reference frame  $\mathfrak{R}_0 = (\mathbf{e}_x, \mathbf{e}_z)$  fixed in the laboratory so that  $\mathbf{e}_x$  (resp.  $\mathbf{e}_z$ ) is parallel (resp. perpendicular) to the free surface (Fig. 1). The drum is then divided into elementary square cells  $\Sigma(x, z)$  of size set equal to the mean bead diameter.

The average value of a field  $a(x, z, t)$  at a position  $(x, z)$  is computed as a mixture of time and ensemble average. Indeed, we performed averages of a quantity defined at the grain scale over *all* the beads in *all* the 400 frames of *all* the 20 experiments whose center of mass is within the cell located at  $(x, z)$ . Figure 1 shows the spatial distribution of the  $x$ -component of the time-averaged velocity field  $\mathbf{v}(x, z)$  as obtained within this procedure. The flowing layer and the static phase are then defined as the point where  $v_x$  is above and below a threshold value arbitrary chosen to 0.2. Let us note that all the results presented below do not depend on this threshold value. The interface between the two phases as defined within this procedure is represented as a black line in Figure 1.

#### 3.2 Spatial distribution of the relevant continuous fields within the drum

Let us first determine the granular temperature field within the drum. Calling  $\mathbf{c}_i(t)$  the instantaneous velocity of a bead  $i$  at a given time  $t$ , the fluctuating part



**Fig. 2.** (Color online) Spatial distribution of various continuous fields measured within the drum for experiments with a  $\Omega = 6$  rpm rotation speed. Left: typical snapshot of the instantaneous spatial distribution of granular temperature (a), volume fraction (c) and vorticity (e) within the rotating drum. Right: time and ensemble averaged field of temperature (b), volume fraction (d) and vorticity (f). The average was taken over the 400 snapshots of each of the 20 experiments for  $\Omega = 6$  rpm. Temperatures are expressed in  $\text{m}^2 \text{s}^{-2}$  (left-hand colorbar) or non-dimensionalized by  $gd$  (right-hand colorbar). Vorticities are expressed in  $\text{s}^{-1}$  (left-hand colorbar) or non-dimensionalized by  $\sqrt{g/d}$  (right-hand colorbar) where  $g$  refers to the gravity constant and  $d$  to the mean diameter of the beads (see text for details).

of the velocity  $\delta \mathbf{c}_i(t)$  is defined as  $\delta \mathbf{c}_i(t) = \mathbf{c}_i(t) - \mathbf{v}(x, z)$  where  $\mathbf{v}(x, z)$  is the mean velocity value on the cell  $\Sigma(x, z)$  that contains the bead  $i$ . One can then associate a granular temperature  $T_i(t) = \frac{1}{2} \delta c_i^2(t)$  to the considered bead. Figure 2a shows a typical snapshot of the instantaneous temperature distribution within the drum as obtained using this procedure. Two phases can be clearly distinguished. Within the static phase, the temperature is very close to zero. Within the flowing layer, the spatial distribution of instantaneous local temperature shows large fluctuations, with hot and cold spots gathered in tran-

sient clusters of various sizes. This structure of hot and cold aggregates probably has its origin in the existence of “jammed” aggregates embedded in the flow, as evidenced in rotating drum experiments [39]. Since we are primarily interested in steady averaged fields in relation with the theoretical framework developed in Section 2, we focus on the spatial distribution of the temperature after averaging over the 400 snapshots of each of the 20 experiments performed for a given rotation velocity. The corresponding – time and ensemble – averaged temperature field is represented in Figure 2b.

Voronoi tessellation is then used to associate an instantaneous elementary volume as defined in Continuum Mechanics to each bead  $i$  on each snapshot (see e.g. [27] for related discussion). Calling  $A_i$  the area of the Voronoi polyhedra enclosing the grain  $i$ , the instantaneous volume fraction  $\nu_i$  is defined as  $\nu_i = \pi d_i^2/4A_i$  where  $d_i$  denotes the diameter of bead  $i$ . Typical snapshot of the resulting instantaneous map of volume fraction is presented in Figure 2c. Apart from a very narrow region – about one bead diameter wide – at the free surface and along the drum boundary, the volume fraction appears almost constant, around 0.825, with apparent random fluctuations with standard deviation around 0.04. However, the – time and ensemble – averaged field of volume fraction presented in Figure 2d reveals that  $\nu(x, z)$  decreases slightly within the flowing layer, as expected since dilatancy effects should accompany granular deformation [40].

To compute the instantaneous vorticity  $\omega_i$  associated to each bead  $i$  of each snapshot, the following procedure is adopted: (i) the Voronoi polygon associated with the bead  $i$  is dilated homothetically by a factor two, so that each edge goes through one of the neighboring beads' center; (ii) the circulation  $\Gamma_i(t) = \sum_j \mathbf{c}_j(t) \cdot \mathbf{s}_j(t)$  is calculated around the resulting polygon – each point of a given segment  $\mathbf{s}_j$  is assumed to have a constant velocity  $\mathbf{c}_j(t)$  equal to the one of the embedded bead; (iii) the instantaneous vorticity  $q_i(t)$  is then defined as  $q_i(t) = \Gamma_i(t)/A_i(t)$  where  $A_i(t)$  refers to the area of the initial Voronoi polygon.

A typical snapshot of the instantaneous vorticity distribution within the drum as obtained using this procedure is presented in Figure 2e. This distribution is complex. It exhibits large fluctuations that self-organize into transient network of 1D chains. The characterization of this transient structure is postponed to future work. Figure 2f presents the – time and ensemble – averaged vorticity field in the drum for  $\Omega = 6$  rpm.

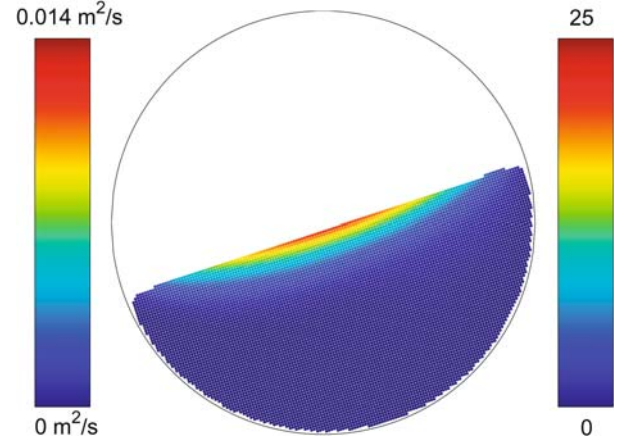
The last continuous field of interest in relation with the theoretical framework presented in Section 2 is the stream function  $\psi(x, z)$ . Its value is set to  $\psi = 0$  at the drum boundary. The value  $\psi(x, z)$  is then defined as the flow rate going through a line connecting the point M at position  $\mathbf{r}(x, z)$  to any point at the drum boundary like e.g. point  $M_0$  at position  $\mathbf{r}_0(x, -\sqrt{D_0^2/4 - x^2})$ :

$$\psi(x, z) = \int_{-\sqrt{D_0^2/4 - x^2}}^z v_x(x, u) du. \quad (22)$$

The resulting spatial distribution of the stream function is shown in Figure 3.

### 3.3 Determination of the two scalar functions within Boussinesq approximation

Let us first determine the value of the parameters  $K$  and  $\nu_*$  involved in the equation of state given by equation (2). This determination requires the pressure field  $P_*$  in the reference frame, when  $\mathbf{v} = \mathbf{0}$ . From equation (4), one gets



**Fig. 3.** (Color online) Spatial distribution of the time and ensemble averaged stream function  $\psi$  in the drum for  $\Omega = 6$  rpm. The stream function is expressed in  $\text{m}^2 \text{s}^{-1}$  (left-hand colorbar) or non-dimensionalized by  $d\sqrt{gd}$  (right-hand colorbar) where  $g$  refers to the gravity constant and  $d$  to the mean diameter of the beads (see text for details).

**Table 1.** Variation of the parameter  $K$  involved in the state equation (2) with respect to the rotating velocity  $\Omega$  of the drum.  $K \simeq 1$  is found weakly dependent on the rotating velocity  $\Omega$ .

$\Omega$	2 rpm	4 rpm	5 rpm	6 rpm	10 rpm	15 rpm
$K$	0.4	0.8	1.1	1.1	1	0.8

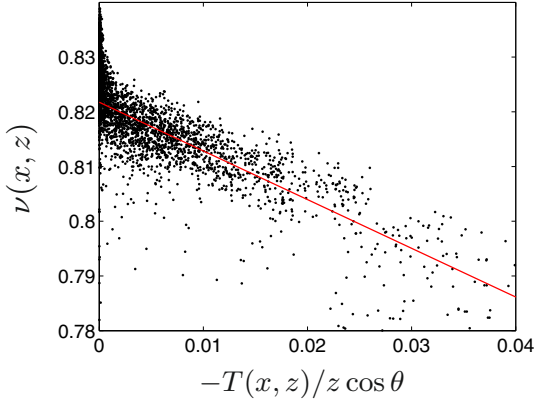
$P_*(x, z) = -z\nu_* \cos \theta$  where  $\theta$  is the slope of the free surface. Equation (8) can then be rewritten as:

$$\nu(x, z) = \nu_* + K\nu_* \frac{T(x, z)}{z \cos \theta}. \quad (23)$$

The time and ensemble averaged local volume fraction  $\nu(x, z)$  is plotted as a function of the ratio  $T(x, z)/z \cos \theta$  in Figure 4. The values of both  $\nu_*$  and  $K$  can then be deduced. The volume fraction  $\nu_*$  is found to be  $\nu_* \simeq 0.824 \pm 0.003$  independently of the rotation velocity. The parameter  $K$  is found to be close to unity, weakly dependent on the rotating speed  $\Omega^1$  (see Tab. 1). The reference temperature field  $T_{ref}(x, z) = -z \cos \theta/K$  is then known.

The knowledge of both the field  $T(x, z)$  and  $\psi(x, z)$  allows to check the first equation in system (21). Figure 5a shows  $T(x, z)$  as a function of  $\psi(x, z)$  for  $\Omega = 6$  rpm. The data points clearly gather along a single function. It is worth to emphasize that such result would have been trivial in unidirectional “homogeneous” flows such as observed in plane shear or inclined plane geometry: in such flows, all the continuum quantities depend on a single spatial coordinate and are thus naturally related univocally by single functions. On the contrary, the fact that the 2D

<sup>1</sup> Strictly speaking, the parameter  $K$  is found to be significantly smaller for  $\Omega = 2$  rpm. However, for this particular rotating speed the flowing layer is very thin. As a result, the variation range of both  $\nu(x, z)$  and  $T(x, z)$  is very small and makes the fit with equation (23) rather imprecise.



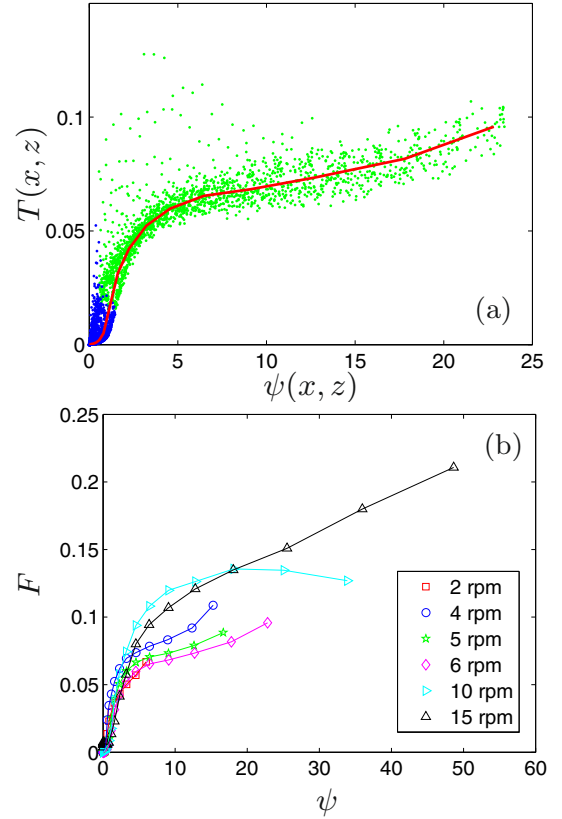
**Fig. 4.** (Color online) Time and ensemble averaged volume fraction  $\nu(x, z)$  as a function of the ratio  $-T(x, z)/z \cos \theta$  for  $\Omega = 6$  rpm. For this rotation speed, the mean slope of the free surface was measured to be  $\theta \simeq 19.7^\circ$  [27]. The straight line is a fit given by equation (23) with  $K \simeq 1.1$  and  $\nu_* \simeq 0.822$ . The temperature is non-dimensionalized by  $gd$  where  $g$  refers to the gravity constant and  $d$  to the mean diameter of the beads (see text for details).

fields  $T(x, z)$  and  $\psi(x, z)$  can be related by a *single* function in the inhomogeneous multidirectional surface flow considered here, where the continuum quantities depend on *both* spatial coordinates  $x$  and  $z$ , is highly non trivial and constitutes then a rather severe test for the approach derived in Section 2. The function  $F$  (red line in Fig. 5a) is defined by averaging the values  $T$  falling into logarithmically distributed bins defined along  $\psi$ . The functions  $F$  obtained using this procedure for the various rotating speeds  $\Omega$  are represented in Figure 5b.

One can now determine the second closure relation  $G(\psi)$ . The function  $F(\psi)$  defined in the previous section (red line in Fig. 5a) is first derived numerically. The resulting function is then applied at each point  $(x, z)$  to the field  $\psi(x, z)$ . Since the reference temperature  $T_{ref}(x, z) = -z \cos \theta / K$  and the vorticity field  $q(x, z)$  are also known at each point, one can deduce the value of the field  $q(x, z) + KF'(\psi(x, z)) \log T_{ref}(x, z)$  at each point, and plot it as a function of  $\psi(x, z)$  (see Fig. 6a). Again, the points clearly gather along a single curve. The function  $G$  (red line in Figure 6a) is then defined by averaging the values  $q(x, z) + KF'(\psi(x, z)) \log T_{ref}(x, z)$  falling into logarithmically distributed bins defined along  $\psi$ . The functions  $G$  obtained using this procedure for the various rotating speeds  $\Omega$  are represented in Figure 6b.

### 3.4 Discussion of the results

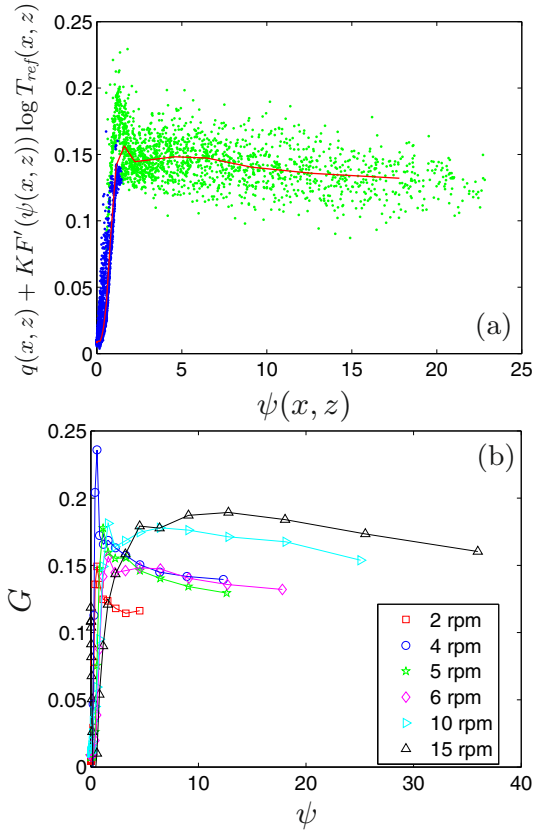
Our determination of the two scalar functions calls for some comments. A first noticeable feature is that the function extends smoothly, without any noticeable transition, from the static to the flowing region. This is quite remarkable, since both phases are characterized by different dynamical properties, and since our hydrodynamic description presumably applies best within the flowing region.



**Fig. 5.** (Color online) (a) Variation of the local temperature  $T(x, z)$  as a function of the local stream function  $\psi(x, z)$  for  $\Omega = 6$  rpm. Each dot of the cloud corresponds to an elementary square cell  $\Sigma(x, z)$  of size equal to the mean bead diameter. Green/light gray dots (resp. blue/strong gray dots) correspond to points that belong to the flowing layer (resp. to the static phase). The red line shows the function  $\langle T \rangle = F(\psi)$  where  $\langle T \rangle$  is defined as the average of the values  $T$  for the cells  $\Sigma(x, z)$  whose  $\psi(x, z)$  fall into logarithmically distributed bins. (b) Variation of  $F(\psi)$  as a function of  $\Omega$ . The temperature and stream function are non-dimensionalized by  $gd$  and  $d\sqrt{gd}$  respectively, where  $g$  refers to the gravity constant and  $d$  to the mean diameter of the beads (see text for details).

The main difference between the two phases is in the scattering of the data along the fit: it is larger in the flowing region than in the static region. This may be traced to correlated fluctuations that have been neglected in our approach (see after Eq. (15)) and that are larger in the flowing region. It would be interesting to see if a larger statistics leads to a reduction of this scattering.

An interesting comparison can also be made with respect to a real fluid system, where a similar approach can be used and where dissipation is made through ordinary viscosity. In that case, it has been shown in [25] that the determination of the scalar function is valid only in the bulk flow region. Outside this region, the data scatters randomly, without forming any specific shape. A possible explanation was that outside the bulk, i.e. closer to the boundaries and the flow forcing devices, viscous and forcing processes become important and do not balance *locally* on average as assumed here. The reason why it works so



**Fig. 6.** (Color online) (a) Variation of the local field  $q(x, z) + KF'(\psi(x, z)) \log T_{ref}(x, z)$  as a function of the local stream function  $\psi(x, z)$  for  $\Omega = 6$  rpm. Each dot of the cloud corresponds to an elementary square cell  $\Sigma(x, z)$  of size equal to the mean bead diameter. Green/light gray dots (resp. blue/strong gray dots) correspond to points that belong to the flowing layer (resp. to the static phase). The red line shows the function  $\langle q + KF'(\psi) \log T_{ref} \rangle = G(\psi)$  where  $\langle \cdot \rangle$  is defined as the average on the cells  $\Sigma(x, z)$  with values  $\psi(x, z)$  which fall into logarithmically distributed bins. (b) Variation of  $G(\psi)$  as a function of  $\Omega$ . The temperature, vorticity and stream function are non-dimensionalized by  $gd$ ,  $\sqrt{g/d}$  and  $d\sqrt{gd}$  respectively, where  $g$  refers to the gravity constant and  $d$  to the mean diameter of the beads (see text for details).

well in the granular case, without any need of selecting any flow region, may lie in the local character of the dissipative processes that precludes any long-range correlation between forcing and dissipation.

### 3.5 Consistency check

As a consistency check, we can use the experimental curve  $F$  and  $G$  to recompute the velocity and temperature fields and check that they agree with profiles obtained in a rotating drum. From Figures 5 and 6, one sees that, in the “liquid” phase,  $F$  is asymptotically linear  $F \sim a\psi$ , while  $G$  is approximately constant,  $G \sim b$ . Inserting these shapes

in equation (21) leads to:

$$\begin{aligned} \delta T &= a\psi, \\ \Delta\psi &= -aK \log(-z \cos \theta / K) + b. \end{aligned} \quad (24)$$

Integrating the second equation with respect to  $z$ , one finds:

$$\psi = (b - aK \log(\cos \theta / K)) \frac{z^2}{2} + \frac{3}{2} aKz - \frac{aK}{2} z^2 \log(-z) \quad (25)$$

so that the temperature profile is quadratic, with logarithmic correction and the velocity profile is linear, with logarithmic correction. This is indeed the behavior observed in our rotating drum and, more generally, in this type of flow in the flowing phase [6,11,27,29].

In the static phase,  $F$  appears quadratic in  $\psi$ ,  $F \sim c\psi^2$  and  $G$  is linear  $G \sim d\psi$ . Therefore, equation (21) becomes:

$$\begin{aligned} \delta T &= c\psi^2, \\ \Delta\psi &= (d - 2cK \log T_{ref})\psi. \end{aligned} \quad (26)$$

The solution for  $\psi$  is in this case

$$\begin{aligned} \psi &= \psi_0 \exp(h(z)), \\ h'^2(z) + h''(z) &= d - 2cK \log T_{ref} > 0, \end{aligned} \quad (27)$$

so that both the velocity profile and the temperature profiles are exponential, with algebraic corrections. This is indeed the behavior observed in the static phase of our rotating drum or other similar type of flows [29,41–43].

## 4 Concluding discussion

In this paper, we investigate the steady states in 2D dense granular flows within the Boussinesq-Euler approximation, assuming local balance between time-averaged forcing and dissipation exerted on an elementary volume. We derived specific relations between the continuum fields (temperature, vorticity and stream function). In particular, we show that the fully 2D steady states can be completely encoded in two scalar functions  $F$  and  $G$ . This prediction is then successfully checked onto the stationary states of a dense inhomogeneous multidirectional granular flow in a rotating drum. This means that stationary states of the rotating drum can be described by a pure Euler description, where neither the forcing, nor the dissipation are explicitly taken into account. In the strict Euler equation framework, both  $F$  and  $G$  would supposedly be determined by boundary and initial conditions. However, in our approach, these conditions are only effective and the functions  $F$  and  $G$  account implicitly for the dissipation processes and the forcing geometry of the considered forced dissipative flow. In this sense, the two scalar functions  $F$  and  $G$  can be seen as fully encoding the 2D fields for temperature and velocity in our apparatus. This represents a reduction of the complexity of the description of the rotating drum granular flows.

The main question in the present framework is therefore now to understand and predict the shape of  $F$  and



$G$  as a function of the forcing and dissipation. From an experimental or numerical point of view, one may try and find empirical laws from variation of the control parameters like rotation speed, size of the beads, friction coefficient, etc. From a theoretical point of view, it would be very interesting to be able to derive these functions from a systematic theory. In a forthcoming paper, we explore a strategy, based upon the statistical mechanics. This will lead to a selection of the possible shapes of  $F$  and  $G$  based on conservation laws and maximisation of an information entropy. Moreover, this strategy leads to Gibbs distributions providing a direct link between the function  $F$  and  $G$  and the fluctuations of physical quantities. Therefore, from the knowledge of the mean flow, one will be able to predict the velocity fluctuations. In this respect, the present approach provides a useful insight for dense granular flow in rotating drum and could be applied to other granular flows. Finally, we stress that the present approach relies heavily on the 2D character of the flow, that allows the description of the flow non-linearities in terms of Jacobian. This feature can be easily generalized to the case of 3D flows with symmetries [24]. Its extension to arbitrary 3D geometry is currently the subject of a very active research.

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